

**Izzy Cohen**  
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Izzy Cohen is a financial markets professional, with over 20 years of diversified experience in areas of regulatory, and operational compliance consulting, equity trading, brokerage, portfolio administration, and treasury. Izzy performed various roles/engagements with global & domestic banks, proprietary trading boutiques, consulting companies, brokerage and investment banking firms, having exposure to a broad range of financial instruments, treasury products, financial services, and banking units.

In recent years Izzy advised clients on a variety of regulatory compliance matters, with particular focus on capital markets activity, transaction investigation, risk assessment, KYC-CIP due diligence, OFAC sanctions, and AML/BSA policies and procedures.

Mr. Cohen has been engaged in various consulting projects, several of which were part of regulatory enforced actions, including an AML/BSA transaction investigation for a regional bank, quality control review of high risk KYC files at a global bank, where Izzy advised the client regarding retention or termination of existing relationships, and an international engagement based in London, where Mr. Cohen investigated trade finance activity of a global bank to determine breach of U.S. sanctions and export control policies. Other assignments include a transaction monitoring project at a global bank, in which he investigated money laundering, terrorist financing, and other illicit activity. Izzy was a consultant to an international commercial bank, where he offered expertise in KYC quality assurance, and risk assessment. He also consulted at a global bank where he provided support to the Alternative Investments Group. Additional assignments include engagements with various financial institutions, including global and domestic banks, reviewing both correspondent and private banking activities.

Prior to his consulting engagements, Mr. Cohen was involved in various capital markets capacities, including proprietary equity trading, portfolio administration (equities, fixed income, and derivatives), retail and institutional brokerage, and treasury/financial analysis functions with an international conglomerate.

Izzy holds an MBA and a Bachelor of Science degree in business administration (minor: finance), and completed additional studies in capital markets and credit derivatives. He is a former registered representative with the securities industry (FINRA licenses 7, 63, 55).