Richard Filippi – Banking/FS Subject Matter Expert

40 + years Financial Services Experience, Technology and Leadership

Richard is an accomplished Banking and Financial Services expert with years of Transformation Program Manager experience at Global Banks and consulting firms.. Pivotal in a strong delivery background, focused on complex systems and Enterprise-impacting projects including compliance, governance and legacy modernization .

Richard has had leadership and hands-on change management roles driving large scale banking regulatory and Risk Reporting Projects.

Highlights

- 40+ years Banking and Financial Services with a focus on Risk, Regulatory Reporting, Transformation, Program and Project Management at major global financial institutions and consulting firms.
- Led cross functional teams in multimillion-dollar transformational projects within the Financial Services industry.
- Consensus builder with business, IT and disparate management teams. Managed and mentored teams of Business Analysts and Developers, both directly and within a Matrix reporting structure. Flexible self-starter with ability to combine industry and operational knowledge with IT project management expertise.
- Communicated, coordinated and collaborated with all levels of management, crossing multiple businesses lines to align strategic goals and stakeholders' interests,
- Ensure project delivery and financial outcomes that were meeting or exceeding targets.

Professional Experience

- Managed and implemented multimillion-dollar transformational projects within the Financial Services industry.
- Extensive experience in Credit, Liquidity and Operational Risk.
- In-depth knowledge of capital markets and banking products, as well as risk policies and procedures.
- Comprehensive knowledge of all FX products, interest rate derivatives, equities (cash & derivatives) and Credit Default Swaps.
- Experienced in Credit, Liquidity and Operational Risk at several global financial institutions.
- Led the liquidation of a large portfolio in excess of $300 million resulting in minimum credit losses. The portfolio included loans, leases and direct investments in Structured Products (CDOs).
- Speaker at various events on Risk & Compliance, most recently at the “Marcus Evans” Liquidity Risk conference on June 9th, 2020.

Expertise

Strategy Development:

- Program/Project Management
- Business Analysis
- Problem Solving
- Cross Functional Collaboration
- Leadership
- Customer focus
- Process Improvement
- Change Management

Financial Services:

- Core Banking
- Commercial/Retail / Lending
- Credit, Liquidity & Operational Risk
- Capital Markets products
- Wealth Management

Professional Development:

- Trade Settlement
- Agile Methodology
- Business Analytics
- Credit Trained