

RICHARD FILIPPI
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Financial Services Transformation - Project Manager – Business Analyst

Mr. Filippi is a Finance & Risk IT Project Manager for multimillion-dollar transformational projects within the Financial Services industry. Consensus builder with business, IT and disparate management teams. Managed and mentored teams of Business Analysts and Developers, both directly and within a Matrix reporting structure. Flexible self-starter with ability to combine industry and operational knowledge with IT project management. Extensive experience in Credit, Liquidity and Operational Risk. Knowledgeable in capital markets and banking products, as well as risk policies and procedures. Speaker at various events on Risk & Compliance, most recently at the “Marcus Evans” Liquidity Risk conference on June 9th, 2020. Highly effective in:

- Project Management
- Business Analysis
- Problem Solving
- Project Governance
- Data Governance
- Project Management Office
- Business Process Mgt.
- Business Requirements
- Functional Requirements
- Analytical Skills
- Critical Thinking
- Presentation Skills
- Communication Skills
- Credit, Liquidity & Market Risk
- Data Warehouse
- Metrics Reporting (KPIs)
- Change Management
- Systems Analysis
- Systems Design
- Workflow Design
- Capital markets & banking products knowledge

HIGHLIGHTED CAREER ACHIEVEMENTS

- Successfully implemented various multimillion-dollar Finance & Risk projects for domestic and international regulators, using both Agile and Waterfall methodologies. Managed both Executive Board Level Steering Committee and Working Group meetings to provide management with reporting metrics and insights on progress updates and any risks requiring escalation.
- Founding member of the Risk & Compliance practice in New York. Collaborated with sales teams to develop proposals. Developed contacts with C-Level management at numerous banks throughout the U.S. to implement marketing strategies.
- Led the liquidation of a large portfolio in excess of \$300 million resulting in minimum losses. The portfolio included loans, leases and direct investments in Structured Products (CDOs). In-depth knowledge of all FX products, interest rate derivatives, equities (cash & derivatives) and Credit Default Swaps. Experienced in Credit, Liquidity and Operational Risk at several global financial institutions.

EMPLOYMENT SUMMARY

IRIS Software (@Citibank – reorganization resulted in contract cancelation)	2020 – 2021
UBS	2017 – 2020
FINANCIAL INDUSTRY TECHNICAL SERVICES, INC. (@ UBS)	2013 – 2017
HEADSTRONG A GENPACT COMPANY	2011 – 2013
ORACLE INC. (FORMERLY I-FLEX SOLUTIONS)	2004 – 2011
PREVIOUS POSITIONS HELD in Risk & Operations	
Dresdner Kleinwort Wasserstein, NEW YORK, NY	1996 –2004
Chase Manhattan Bank, BROOKLYN, NY	1994 - 1996
Swiss Bank Corporation, NEW YORK, NY	1987 – 1994