

Alan Streeter
astreeter@outlook.com
 Tel (917) 224-8355

Senior Financial Services Technology Advisor

Alan Streeter is the former Managing Director, Head of Corporate Technology at Jefferies, LLC, a New York based global Investment Bank. He retired from Jefferies in March 2021 after 15 years with the Firm. Alan was responsible for all aspects of Technology related to Operations, Clearance and Settlement, Middle Office, Finance and Accounting, Corporate Data, Regulatory and Compliance and Human Resources.

Some of Alan's successes at Jefferies include instituting a robust Corporate Data Platform, initiating a Robotics Process Automation program, implementing multiple cost efficiency strategies and ensuring regulatory compliance in a host of different areas including Trade and E-Communication surveillance.

With approximately 40 years of industry experience, Alan is an acknowledged expert in Capital Markets Technology. His breadth of management experience, of mentoring and enabling collaborative approaches to problem resolution have been a hallmark of his career as is his perseverance and attention to detail through a given Program's execution.

HIGHLIGHTED CAREER ACHIEVEMENTS

- Successfully completed a multiyear global Compliance Technology transformation project which included a refresh of the Anti-Money laundering application, implementation of a new Employee trading surveillance and Firm Disclosure and Questionnaire product, a new learning and training platform, a multi-product trade surveillance platform and a leading AI enabled e-communication surveillance platform.
- Built an extensive Corporate Data Platform with hundreds of input supplier data feeds and hundreds of output consumer feeds. It ensured data integrity across critical control functions specifically Finance, Risk and Treasury.
- Enabled multiple straight through processing initiatives, retired mainframe technologies, completed industry and regulatory initiatives including T+2 and CAT and ensured cohesive coordination between the front office and back-office technologies.
- Offshored a one-hundred-person applications development group to India which resulted in a 30% per year savings and an improvement in the quality of the support.

EMPLOYMENT SUMMARY

Jefferies Group	2006 – 2021
Bearing Point	2005 – 2005
TORI Global	2003 – 2004
Société Générale/SG Cowen	1999 – 2002
Cowen and Company	1986 – 1998
The Davidsohn Group	1977 – 1985